



Kimberly Bustamante

COO/CCO

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Accomplishments

Kimberly is passionate about volunteering and works with many organizations. She has just completed a 52-week journey in which she volunteered with a different charity a week for 52 weeks. Some of the highlights of her journey included building wells in El Salvador for villages with no access to clean water, feeding the homeless in Jersey City, New Jersey and NYC, performing trail maintenance at the South Orange Reservation, working with drug-addicted and disaffected young adults in West Virginia, and working on the Blackfeet Indian Reservation in Montana. You can read about her 52 weeks in her blog at: <https://52weeksofcharity.blog>.

Kimberly Bustamante serves in the dual capacity of Chief Compliance Officer for Wiss Private Client Advisors, LLC and Chief Operating Officer for Wiss & Company, LLP.

As Chief Compliance Officer for Wiss Private Client Advisors, Kimberly oversees all aspects of the advisor's compliance programs. Specifically, she is responsible for the oversight of investment management and fiduciary activities, corporate governance, and regulatory filings, as well as, management of regulatory and internal audits including inquiries, testing, surveillance, and BAA/AML compliance. Ultimately, as head of compliance she ensures that policies and procedures are established, maintained, reviewed, tested and modified in order to achieve compliance with applicable federal and state rules and regulations.

As Chief Operating Officer for Wiss & Company, Kimberly is an active member of the senior management team that drives Wiss' future development, from planning through execution of strategic goals. On a day-to-day basis, Kimberly manages and guides HR, IT, Marketing, and Administrative Support, the teams that make up Wiss' core structure and enables Wiss to support its clients fully in their pursuit of growth and wealth creation.

Kimberly is a reflection of the Wiss culture which encourages out-of-the box thinking, creative problem-solving and motivating, engaging and inspiring employees to be the best version of their personal and professional selves.

Outside of work Kimberly is enrolled at Fielding University where she is pursuing her Master's Degree in Organizational Development and Leadership.

Education

Bachelor of Arts – Montclair State University

Lean Six Sigma Green Belt Certified

Professional Affiliations

Executive Women of New Jersey

CPA Firm Management Association

Beyond Wiss

Kimberly lives in Morristown with her husband Dean and enjoys hiking, camping, wilderness training, and reading. She is also an avid virtual race runner, participating in events around the world, including a 500-mile, 6-month race to support Parkinson's Disease Research. And last, but definitely not least, Kimberly loves spending time with her grandson. You can often find them at the Turtle Back Zoo.